

Order form for VPS Messages ISO 15022

Service description

ISO 15022 is an international standard for exchanging transactions/messages in the securities sector. The message service that VPS has implemented is intended for participants in the securities settlement system, but account operators can also use the messages for account-to-account transfers and statements.

Investment firms and settlement agents must use this standard when notifying transactions to VPS, and for messages generated automatically by the VPS system as a result of transactions notified. All messages sent by VPS to the customer are sent immediately the change in status/amendment is carried out.

Communication requirements for the use of this standard in VPS

The customer can choose between using either IBM Web Sphere MQ or the SWIFT network as its communication channel.

Documentation

The VPS customer web pages provide documentation on the technical structure of all available messages/transactions.

Authorisation

The customer will be given access to the service once an order form has been received by VPS by post or e-mail. The customer is responsible for testing the functionality available before starting to use the product. Testing is to be carried out using the VPS test system.

Prices

The pricing applicable to the service is specified in the VPS price lists. From inputting a trade transaction through to the trade being matched, the customer is charged only for a single MT548 status message. Any additional status messages are charged separately. If the customer uses SWIFT, VPS will charge the transmission costs it incurs to the customer in addition to the message costs.

Termination of the service

The customer can terminate its access to the service by giving one month's notice.

Order information:

<i>Date</i>	<i>Reg. No.</i>	<i>BIC</i>
<i>Customer name</i>	<i>Order placed by</i>	<i>Signature of person placing the order</i>

Put a cross in the boxes to indicate which message types your organisation wishes to use:

- | | |
|---|--|
| <input type="checkbox"/> MT540-543 Instructions * | <input type="checkbox"/> MT535 Statements and MT549 Request |
| <input type="checkbox"/> MT544-547 Confirmations * | <input type="checkbox"/> MT536 Statements and MT549 Request |
| <input type="checkbox"/> MT548 Settlement Status and Processing Advice * | <input type="checkbox"/> MT535 Delta Statement of Holdings EOD |
| <input type="checkbox"/> MT548 Settlement Status and Processing Advice partial delivery | <input type="checkbox"/> MT535 Delta Statement of Holdings after each settlement cycle |
| <input type="checkbox"/> MT536 Delta Account to Account Receipt | <input type="checkbox"/> MT535 Complete Statement of Holdings |
| <input type="checkbox"/> MT536 Delta Change in Holdings Receipt | <input type="checkbox"/> MT536 Statement of Transactions after each settlement cycle |
| <input type="checkbox"/> MT578 Settlement Allegement S-1 | <input type="checkbox"/> MT537 Pending transactions |
| <input type="checkbox"/> MT578 Settlement Allegement real-time | <input type="checkbox"/> MT598 Closing message after each settlement cycle |
| <input type="checkbox"/> MT586 Statement of Settlement Allegement and MT549 Request | |

* Messages are mandatory for Settlement participants

- **MT540-543 Instructions** that give access to inputting trade transactions and MT548 responses to notify errors in transactions that have been input.
- **MT544-547 Confirmations** in response to MT540-MT543. Confirmations will be sent when Norges Bank has approved the settlement and the bookkeeping entries have been completed.
- **MT548 Settlement Status and Processing Advice** provides information on errors and status that a transaction can have before the bookkeeping entries have been completed.
- **MT548 Settlement Status and Processing Advice partial delivery** provides information on number of securities settled, securities remaining unsettled and the reason for partial delivery. Issued after every settlement cycle.
- **MT578 Allegement S-1**. Sent to the party that has not registered a trade transaction on S-1.
- **MT578 Settlement Allegement real time**. When the first trade leg is input to VPS, this real-time message is sent to the counterparty.

- **MT586 Statement of Settlement Allegement.** This message is requested by submitting a MT549 Request message. The message lists all alleged transactions. Real-time response.
- **MT536 Delta Account to Account Transfer Receipt.** Confirms real-time update due to account-to-account transactions and reports the new account balance. This message is sent in real-time to both the accounts involved.
- **MT536 Delta Change in Holdings Receipt.** Produced regarding system-generated transactions that affect account balances, for example, securities loans, return delivery, etc. The message is sent immediately after the batch run.
- **MT 535-536 Statements.** These messages are requested by submitting a MT549 Request message. The messages provide statements of holdings, transactions carried out in a specified period, and unsettled transactions requested by account. The statement requested is produced immediately after the request is received by VPS.
- **MT 535 Delta Statements of Holdings.** Produced once each day. Shows End-Of-Day holdings. These messages are ordered per account or series using the subscription function of the VPS Settlement Info system (VPS Oppgjørsinfo).
- **MT535 Delta Statements of Holdings after each settlement cycle.** Produced three times each day, i.e. after each settlement cycle and at end of day (approximately 22:00 hours). These messages are ordered per account or series using the subscription function of the VPS Settlement Info system (VPS Oppgjørsinfo).
- **MT 535 Complete Statements of Holdings.** Produced at pre-determined intervals, either daily, weekly or monthly. These messages are ordered per account or series using the subscription function of the VPS Settlement Info system (VPS Oppgjørsinfo).
- **MT 536 Statements of Transactions.** Produced after each settlement cycle. Shows all movements on the account since the previous settlement cycle. These messages are ordered per account or series using the subscription function of the VPS Settlement Info system (VPS Oppgjørsinfo).
- **MT 537 Statement of Pending Transactions.** Produced after each settlement cycle. Specifies the reason why the instruction has a pending status. These messages are ordered per account or series using the subscription function of the VPS Settlement Info system (VPS Oppgjørsinfo).
- **MT598 Closing Message.** This message provides confirmation that a settlement has been successfully booked, and is sent immediately after all the messages relating to the settlement have been produced.

Customers can order this service:

By sending an Order Form by e-mail to Oppgjoer@vps.no

By placing an order, the terms conditions set out above are accepted.